

## Appendix 2: Assurance Summary 2020/21

Audit Plan Areas	Level of Assurance				
	High	Satisfactory	Partial	Minimal	No Opinion
Managing the Business			<ul style="list-style-type: none"> <li>IT Audit: Disaster Recovery and Continuity Planning Arrangements 19-38</li> </ul>		<ul style="list-style-type: none"> <li>IT Remote Working and Cyber Security arrangements 20-36</li> <li>ICT Risk Assessment 20-24</li> </ul>
Managing Service Delivery Risks	<ul style="list-style-type: none"> <li>Adults Social Care Recovery from Covid-19 20-46 (draft)</li> </ul>	<ul style="list-style-type: none"> <li>Deprivation of Liberties Safeguards 20-49</li> <li>Health &amp; Safety 20-38 (draft)</li> <li>Adult Community College Payroll 20-26 (draft)</li> </ul>	<ul style="list-style-type: none"> <li>Better Queensway Project Assurance 20-18</li> </ul>		<ul style="list-style-type: none"> <li>Covid-19 Local Outbreak Control Plan Governance Arrangements 20-45</li> <li>Energy Projects Status Assurance 20-23</li> <li>Better Queensway Highways Scheme 20-51</li> </ul> <p>Advice &amp; Support Work:</p> <ul style="list-style-type: none"> <li>Getting to Know your Business – Children’s Services Improvement Plan 20-05</li> </ul>

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					<ul style="list-style-type: none"> <li>• <b>Simple and Effective Governance (Audit Committee April 2021 -20-05)</b></li> <li>• <b>Liquid Logic / ContrOCC (Audit Committee April 2021)</b></li> <li>• <b>In House Foster Carers Improvement Plan (Audit Committee April 2021)</b></li> <li>• <b>Disabled Facilities Grant Policy Work (Audit Committee April 2021)</b></li> <li>• <b>Adult Community College Financial Regulations (Audit Committee October 2020)</b></li> </ul>

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					<ul style="list-style-type: none"> <li>• <b>Adult Community College Finance Curriculum &amp; Quality Group (ongoing)</b></li> </ul>
<b>Key Financial Systems</b> (No work 20/21)					
<b>Audits Revisited</b>		<ul style="list-style-type: none"> <li>• <b>Better Queensway Project Assurance 20-56</b></li> <li>• <b>Adult Community College Payroll (draft)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Payroll 19-46</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Direct Payment Support Service Contract Management (draft)</b></li> </ul>	

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Grant Claims	Level of Assurance	
	Unqualified	With Qualification
	<ul style="list-style-type: none"> <li>• Disabled Facilities Capital Grant Determination</li> <li>• Local Transport Capital Block Funding – Highways Maintenance</li> <li>• Pothole Action Fund</li> <li>• National Productivity Investment Fund</li> <li>• Troubled Families</li> <li>• Covid-19 Surge enforcement and compliance funding 2020 (No 31/5216)</li> <li>• Travel Demand Management Grant (awarded 29-7-20)</li> <li>• Additional Dedicated Home to School and College Transport Grant (awarded 11-8-20)</li> <li>• Emergency Active Travel Grant (awarded 29/06/2020)</li> </ul>	

